APPLICATION FOR PATENT

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Title: Method, system and protocol that enable unrestricted user-level access to a network interface adapter

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FIELD OF THE INVENTION

The present invention relates generally to computer systems, and more specifically to the architecture of software (SW)/hardware (HW) protocols used when a
15   host SW application communicates with a network interface adapter, also known as a network interface card (NIC).

BACKGROUND OF THE INVENTION

20   Direct memory access (DMA) is an efficient means for transferring data to and from a memory without direct involvement of a central processing unit (CPU). A DMA engine performs the desired data transfer operations as specified by DMA instructions, known as descriptors. The descriptors typically indicate, for each operation, a source address from which to read the data, and information regarding disposition of the data.
25   The descriptors are commonly organized in memory as a linked list, or chain, in which each descriptor contains a field indicating the address in the memory of the next descriptor to be executed.

In order to initiate a chain of DMA data transfers, a software application program running on a CPU prepares the appropriate chain of descriptors in a memory accessible to
30   the DMA engine. The CPU then sends a message to the DMA engine indicating the memory address of the first descriptor in the chain, which is a request to the DMA engine

to start execution of the descriptors. The application typically sends the message to the "doorbell" (DB) of the DMA engine - a control register with a certain bus address that is specified for this purpose. Sending such a message to initiate DMA execution is known as "ringing the doorbell" of the DMA engine. The DMA engine responds by reading and

5   executing the first descriptor. The engine follows the "next" field through the linked list until execution of the descriptors is completed or terminated for some other reason. Note that one or more descriptors can be associated with a single doorbell.

DMA is used in modern network communication adapters to interface between host computer systems and packet networks. In this case, the host prepares descriptors

10   defining messages to be sent over the network and rings a doorbell of the communication adapter to indicate that the descriptors are ready for execution. The descriptors typically identify data in the host system memory that are to be inserted in the packets. During execution of the descriptors, the DMA engine in the adapter reads the identified data from the memory. The adapter then adds appropriate protocol headers and sends packets out

15   over the network corresponding to the messages specified by the descriptors.

Packet network communication adapters are a central element in new high-speed, packetized, serial input/output (I/O) bus architectures that are gaining acceptance in the computer industry. In these systems, computing hosts and peripherals are linked together by a switching network, commonly referred to as a switching fabric, taking the place of

20   parallel buses that are used in legacy systems. A number of architectures of this type have been proposed, culminating in the "InfiniBand™" (IB) architecture, which is described in detail in the *InfiniBand Architecture Specification*, Release 1.0 (October, 2000), which is incorporated herein by reference. This document is available from the InfiniBand Trade Association at www.infinibandta.org.

25   A host connects to a switching fabric (e.g. the IB fabric) via a NIC, which is referred to in IB parlance as a host channel adapter (HCA). When an IB "consumer" i.e. the user-mode application software which is running on the host, needs to open a communication channel with some other entity via the IB fabric, it instructs the NIC to provide the necessary transport service resources by allocating a transport service

30   instance, or queue pair (QP), for its use. Each QP has a Send Queue (SQ) and a Receive Queue (RQ) and is configured with a context that includes information such as the

destination address (referred to as the local identifier, or LID) for the QP, service type, and negotiated operating limits. Communication over the fabric takes place between a source QP and a destination QP, so that the QP serves as a sort of virtual communication port for the consumer.

5   In order to send and receive communications over the IB fabric, the consumer initiates a work request (WR) on a specific QP. There are a number of different WR types, including send/receive and remote DMA (RDMA) "read" and "write" operations, used to transmit and receive data to and from other entities over the fabric. WRs of these types typically include a gather list, indicating the locations in system memory from

10   which data are to be read by the NIC for inclusion in the packet, or a scatter list, indicating the locations in the memory to which the data are to be written by the NIC. When consumer submits a WR, it causes a work item, called a work queue element (WQE), to be placed in the appropriate queue of the specified QP in the NIC. The WQE is a descriptor in IB parlance. The NIC then executes the WQE (descriptor), including

15   carrying out DMA operations specified by the gather or scatter list submitted in the WR. "Descriptor" is used hereafter as a general term and includes WQEs.

       User-level access to a NIC translates into management of descriptors by non-trusted code. Thus, the NIC is obliged to assure that only legal operations are performed by every application ("legal" and "illegal" defined by the operating system (OS) in

20   context tables), and that if an application executes an illegal operation it cannot hurt any other application.

       As mentioned, a doorbell is essentially a "write" to a control register of the NIC indicating that a descriptor (or a chain of descriptors) has been posted to the NIC for execution. This write is possible without a kernel call. In order to process the doorbell,

25   the NIC needs to read QP context memory. The response to this read request is called a "read response". In parallel (or independently), the host CPU can keep ringing doorbells. As shown in and discussed below with reference to FIGS. 1 and 2, a "deadlock" occurs if the write operation (doorbell ring) logical path and the read response logical path overlap, since PCI ordering rules do not enable the read response to return when both "writes" and

30   "reads" use the same logical path (i.e. read responses cannot bypass writes). The common logical path is referred to hereafter as a "write/read path". More detailed descriptions of

doorbells and doorbell handling as well as of the general architecture and communication between host, interface adapter and switch fabric may be found in US Patent application No. 10/052,000 entitled "Doorbell handling with priority processing function" by M. Kagan et al. filed January 23, 2002, and US Patent Application No. 10/118,941 entitled

5   "Network adapter with shared database for message context information" to M. Kagan et al., filed April 10, 2002 which are incorporated herein by reference.

FIG. 1 shows a schematic topology of a prior art system **100** in which a host processor communicates with a NIC. System **100** comprises a NIC **102,** at least one host processor (CPU) **104,** a chipset (memory controller) **106,** a system memory **108,** and a

10   dedicated memory **110** attached to the NIC. NIC **102** communicates with the host through a host interface **112** from the chipset to the host, and a communication bus, preferably a Peripheral Component Interface (PCI) bus **114,** as well known in the art. The NIC is further connected to a switched fabric **116** through an input port **118** and an output port **120.**

15   Descriptors are stored in a buffer **122** in system memory **108.** The QP context is preferably stored in the dedicated memory, although a system memory QP context storage is also known, see the "Network adapter with shared database for message context information" application above. Doorbells received by the NIC HW from SW are temporally stored in a buffer **124** of the NIC, preferably a first-in first-out (FIFO) buffer.

20   The system has a logical DB write path **126** (dashed line) between each host CPU and the NIC, and a separate context extraction path **128** between the NIC attached memory and the NIC. In case the context is stored in system memory, there is a single write/ read path.

FIG. 2 is a flow-chart of a standard doorbell ringing and descriptor execution protocol, which uses separate DB write and context read response paths. The application

25   SW running on the host opens (sets-up) a connection to another peer on the network and writes QP context to memory in a step **200.** The application SW then writes a descriptor to system memory in a step **202,** and writes a doorbell that prompts the NIC HW to execute this descriptor in a step **204.** The doorbell is written to a doorbell buffer, preferably a FIFO buffer. The NIC reads the QP context from its attached memory in a

30   step **206,** and the descriptor is executed in a step **208.** In this commonly used protocol, doorbell writes acceptance by the NIC HW is unconditional - the basic assumption is that

each doorbell write is accepted as it arrives. The system makes sure that read responses needed to process this doorbell use a different path, thus preventing deadlock..However, this commonly used system has a main disadvantage in the need for an additional, separate memory attached to the NIC.

5   In a prior art system that uses a single write/read path, the software must guarantee that the doorbell FIFO buffer is never full. This guarantee is provided by synchronizing all consumers through the OS, i.e. by using a kernel call. Disadvantageously, this implies restricted access to the NIC HW, and inherent increased overhead requirements.

10   In summary, all prior art solutions to the DB write/QP context read response deadlock problem are based either on the use of separate write and read response paths, or on synchronization between consumers using a kernel call. The main disadvantage of the first solution is the need for the additional, separate memory attached to the NIC. The main disadvantages of the second solution are restricted access to the NIC and additional 15   overhead.

There is therefore a widely recognized need for, and it would be highly advantageous to have, a method, system and protocol that solve the doorbell deadlock condition without requiring either separate write and read paths or synchronization between users.

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SUMMARY OF THE INVENTION

The present invention discloses a method, system and protocol that enable use of a single logical path for both doorbell writing from a host SW application to a NIC and 25   for QP context reading by the NIC from system memory. Using the method, system and protocol disclosed herein, a network adapter is capable of holding multi-million connections simultaneously, enabling user-level access (kernel bypass) and using a "push-push" (write) model for communication with a host. This is a proven way to get fastest performance. With the present method, system and protocol there is also no need 30   for dedicated memory, and no need for synchronization between various user-level consumers using a kernel call.

According to the present invention there is provided a method for preventing deadlock in communication between a user running an application and a NIC, the method comprising steps of: writing a doorbell associated with at least one descriptor having a descriptor QP context to a buffer in the NIC; checking if the buffer is full, and

5   if it is, dropping at least one doorbell from the buffer, thereby allowing a write of a new doorbell to the buffer instead of each dropped doorbell; and if the buffer is not full, executing each descriptor associated with the written doorbell in order of posting by the application, whereby the method enables unrestricted user-level access to the NIC.

According to the present invention there is provided a method for resolving

10   communication deadlock arising from overlapping doorbell write and context read response logical paths, comprising steps of providing a single logical communication path common to the doorbell writes and QP context read responses, and facilitating unsynchronized, kernel-call-free, unrestricted traffic along the single common logical path, whereby the method enables unrestricted user-level access to a network interface

15   adapter without having to use kernel calls.

According to the present invention there is provided a system that enables unrestricted user-level access to a network interface adapter, comprising a NIC configured to accept doorbell rings and read responses through a single logical path; at least one host CPU running at least one application, the CPU connected to the NIC

20   through an interface bus, the application operative to write descriptors associated with said doorbell rings and to update a doorbell record in a system memory, the single logical path passing through said interface bus; and a kernel call-free mechanism for facilitating free traffic along the single logical path, whereby the NIC configuration removes the need for a separate context storage memory attached to the NIC and

25   whereby the kernel call-free mechanism allows a practically unlimited number of users to access the NIC simultaneously without a kernel call.

According to the present invention there is provided a method for obtaining kernel call-free access from a plurality of users that post doorbells to a doorbell buffer in a network interface adapter, the adapter having to obtain read responses from a system

30   memory to allow execution of descriptors associated with each such doorbell, the method comprising steps of: responsive to a first check, dropping at least one doorbell

from the doorbell buffer if the buffer is full, thereby providing space in the buffer for a respective new doorbell; recovering each dropped doorbell and executing its respective associated descriptors; and responsive to the same said first check, if the doorbell buffer is not full, checking if a doorbell is a repeat doorbell, and executing descriptors of each

5   doorbell found to be not a repeat doorbell.

BRIEF DESCRIPTION OF THE DRAWINGS

         FIG. 1 shows a schematic topology of a prior art system that uses separate write

10   and read paths and a dedicated memory;

         FIG. 2 is a flow chart of a standard doorbell ringing and descriptor execution

process;

         FIG. 3 is a schematic description of a descriptor cyclic buffer structure;

         FIG. 4 shows a schematic topology of an embodiment of the system of the present

15   invention that uses a single write/read path;

         FIG. 5 a flow chart listing the main steps of the doorbell deadlock resolution

method of the present invention;

         FIG. 6 described in detail the steps of recovering dropped doorbells.

20   ## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

         The present invention relates to a system, method and software/hardware interface

protocol that enable practically unrestricted user-level access to a communications

network (through a NIC) without compromising performance. The performance delivered

25   is equal to that obtained when the management of descriptors is done by trusted code. In

order to prevent the doorbell write/context read response deadlock described above, the

method, system and protocol of the present invention enable the NIC to drop some

doorbells, thereby "cleaning up" a clogged read response path. This means that *only one*

logical path without use of a kernel call is needed for both doorbell write and QP read

30   response processes. This is a paradigm shift, representing a key novel and innovative

feature of the method. We call this common path a "kernel call-free" path. All doorbells

(including the dropped ones) are written by SW to (replicated in) a doorbell record, prior to ringing the doorbell. The DB record is a data structure located in system memory. This replication can be used by the NIC when it has to recover dropped (or "recovering from dropping") doorbells. Recovery occurs without neither the NIC nor the CPU having their

5   operation affected during the recovery period (minimal intervention). Dropped doorbells are recovered without affecting other connections for which doorbells were not dropped. This is another key novel and innovative feature of the method. The mechanism used for dropping and recovering doorbells is referred to hereafter as "kernel call-free" drop and recovery mechanism.

10   The recovery algorithm (mechanism) uses two counters shown in FIG. 3: the first, a "SW DB counter" equals the total number of rung doorbells, is incremented by SW for every descriptor posted on the QP, maintained in the doorbell record in system memory and is written in the doorbell (i.e. part of the doorbell message). The second, a "HW Expected DB counter" equals a doorbell index expected by the HW, is located in the QP

15   context, is maintained by the NIC HW and latches the value written in the last doorbell that was successfully received from the SW.

Although doorbells are dropped, descriptors are executed in the order in which they are posted by the application program (order of posting), not in the order they are received by the NIC (because of possible drops). Advantageously, the method resolves

20   the deadlock without requiring a dedicated NIC external memory, i.e. the system operates in a memory-free or "mem-free" mode.

FIG. 4 shows a schematic topology of an embodiment of a system **400** of the present invention that uses a single write/read path. In common with FIG. 1, system **400** comprises a NIC **402,** at least one host processor (CPU) **404,** a chipset (memory

25   controller) **406,** and a system memory **408.** NIC **402** communicates with the host through a host interface **412** from the chipset to the host, and a communication bus, preferably a Peripheral Component Interface (PCI) bus **414** from the chipset to the NIC, as well known in the art. The NIC is further connected to a switched fabric **416** through an input port **418** and an output port **420.** In a preferred case, the switched fabric is an IB fabric,

30   and the NIC is an HCA.

As in the system of FIG. 1, descriptors are stored in a buffer in a system memory **422**. In contrast with system **100**, system **400** does not have a dedicated memory attached to the NIC, and therefore must store QP context information **424** in the system memory. The system memory further stores a doorbell record **426**. Doorbells received by the NIC HW from the host SW are temporally stored in a doorbell buffer, preferably a FIFO buffer **428**. As a result, read responses involve traffic **430** between the system memory and the NIC and therefore share a single logical path **432** through bus **414** with writes **434** from CPU **404**. Without the provision of the deadlock resolution method disclosed herein, such a system *must* have user synchronization employing a kernel call to prevent deadlock, as explained above.

FIG. 5 shows a flow chart listing the main steps of the doorbell deadlock resolution method of the present invention. In common with prior art methods, the OS opens a connection and writes QP context to memory in a step **500**. The application SW then writes descriptors to system memory in a step **502**. Unlike in prior art, the software updates a doorbell record with a doorbell associated with one or more descriptors in a step **504**. The application then writes the doorbell to the NIC HW in a step **506**. When the doorbell is received by the NIC, a first checking mechanism checks if the DB buffer is full in a step **508**. In response to this first check, if the buffer is full, a doorbell is dropped in a step **516**. The dropped doorbell is preferably the last doorbell input into the FIFO buffer. However, in principle, the dropped doorbell may be any doorbell in the buffer, for example the first doorbell. In general, more than one doorbell may be dropped to make room for more than one new doorbell in the buffer. The NIC HW then sets an activate recovery flag (ARF) (lights a bit) in a step **516**, triggering a recovery algorithm running in the NIC. A set ARF indicates that a doorbell was dropped. The recovery of dropped doorbell(s) then proceeds as explained in detail with reference to FIG. 6.

If the buffer is not full, the doorbell is stored in the doorbell buffer in a step **510** and the NIC reads the QP context from system memory in a step **512**. The NIC checks if the SW DB counter value (which was extracted from the DB record) is smaller than the HW DB counter value (which was extracted from the QP context) in a second check step **513**. The purpose of the check is to detect "repeat" doorbells, i.e. DBs that were already processed by the NIC HW due to a prior activation of the recovery mechanism. The

checking condition is explained in more detail with regard to FIG. 6 below. If the second check is successful (Yes, meaning the doorbell is a "repeat" DB) the doorbell is dropped. If the second check is unsuccessful (No, the doorbell is not a "repeat" DB), the associated descriptor(s) is (are) executed in a step **514**.

5   The recovery of dropped doorbells is now explained in detail with reference to FIG. 6. A third check step **602** checks if the ARF is set. If the ARF is not set (doorbell not dropped in the system), the third check continues until it finds a set ARF. If such set ARF is found, the ARF is cleared (bit is turned off) in a step **604,** and the recovery starts for all active connections. The doorbell record is read in a step **606**, and a fourth check

10   step **608** checks if the SW DB counter value is greater than the HW DB counter value. The calculation of "SW DB Counter > HW DB Counter" is preferably done with respect to a counter roll-over condition (very similar to the way repeated packets are detected in network protocols such as InfiniBand and TCP). For example, in the case of a 32-bit counter, "roll-over" is a condition in which the counter is incremented when its value is

15   0xFFFFFFFF. In order to calculate "SW DB Counter > HW DB Counter" correctly for a roll-over condition, the following rule is applied: the number of bits in the counter should be at least double the "round-up" of log 2 of the number of descriptors in the QP. The "SW DB Counter < HW DB Counter" check in FIG. 5 uses a similar calculation. A successful fourth check (Yes) indicates that there are one or more descriptors to be

20   executed. In this case, the NIC HW schedules the execution of the new descriptors on the specific QP in a step **610,** and the next doorbell record is read in a step **612**. If the fourth check is unsuccessful (No), meaning that no new descriptors were found, the operation proceeds directly to step **612**. Steps **606 - 612** are repeated for all active connections.

       In summary, the present invention solves a critical problem in communications

25   between users and network interface adapters, while advantageously reducing overhead and additional memory requirements.

       All publications, patents and patent applications mentioned in this specification are herein incorporated in their entirety by reference into the specification, to the same extent as if each individual publication, patent or patent application was specifically and

30   individually indicated to be incorporated herein by reference. In addition, citation or

identification of any reference in this application shall not be construed as an admission that such reference is available as prior art to the present invention.

While the invention has been described with respect to a limited number of embodiments, it will be appreciated that many variations, modifications and other
5   applications of the invention may be made.